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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cary Lee Bates, Steven Paul Jones, Eric John Nelson,
and John Matthew Santosuosso

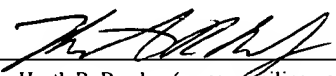
Atty. Docket: IBM / 138
Client Ref.: RO999-233

Title: LOCATION-BASED VEHICLE RISK ASSESSMENT SYSTEM

CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10

'Express Mail' mailing label number: EL583224926US
Date of Deposit: April 20, 2000

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


By: Heath R. Bandy (person mailing paper)

UTILITY PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION

*Assistant Commissioner for Patents
Washington, D.C. 20231*

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☒ **Original (non-provisional) application.**
- ☐ Divisional of prior application Serial No. __, filed on __.
- ☐ Continuation of prior application Serial No. __, filed on __.
- ☐ Continuation-in-part of prior application Serial No. __, filed on __.

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- ☐ Please cancel claims __ without prejudice, and prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- ☒ **The Fees are Calculated as Follows:**

| Fee: | Number of Claims: | In Excess of: | Extra: | At Rate: | Amount: |
|---------------------------------------------|-------------------|---------------|--------|----------|------------|
| Total Claims | 30 | 20 | 10 | \$18 | \$180.00 |
| Independent Claims | 5 | 3 | 2 | \$78 | \$156.00 |
| MULTIPLE DEPENDENT CLAIM FEE | | | | | |
| BASIC FEE | | | | | \$690.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | \$1,026.00 |
| REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | | |
| TOTAL | | | | | \$1,026.00 |

09553010, 042000

ENCLOSURES

- ☒ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- ☒ Return Postcard.

APPLICATION PAPERS

- ☒ Utility Patent Application, with: cover sheet, 25 page(s) specification (including 30 total claim(s), of which 5 is(are) independent), and 1 page(s) abstract.
- ☒ Drawings: 4 sheet(s) of formal drawings (7 total figure(s)).
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☒ An Executed Declaration, Power of Attorney and Petition Form.
- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☒ Assignment to INTERNATIONAL BUSINESS MACHINES CORPORATION, Recordation Cover Sheet (Form PTO-1595)
- ☐ Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 1.27.
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement, sheet(s) Form PTO-1449, U.S. Patent Reference(s), Foreign Patent Reference(s) and Other Reference(s)
- ☐ Other:

CHECKS

- ☒ A Check of \$1,026.00 for the filing fee.
- ☒ A Check of \$40.00 for the assignment recording fee.


DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. 23-3000 in the amount of .
- ☒ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.

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SAS/jlv

Respectfully Submitted,


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